

## **SECTION J – ATTACHMENT 2**

### **LISTS A & B**

## LIST A

### REQUIREMENTS SOURCES AND IMPLEMENTING DOCUMENTS

Pursuant to Section I clause 970.5204-2 Laws, Regulations and DOE Directives (Dec 2000), this attachment contains a partial list of laws and regulations (List A), applicable to work performed under this Contract. Omission of any applicable law or regulation from List A does not affect the obligation of the Contractor to comply with such law or regulation.

Table J.2.1 Code of Federal Regulations (CFR)

Document Number	Title
10 CFR 63	Disposal of High-Level Radioactive Wastes in a Geologic Repository at Yucca Mountain, Nevada
10 CFR 71	Packaging And Transportation Of Radioactive Material
10 CFR 72	Licensing Requirements For The Independent Storage Of Spent Nuclear Fuel
10 CFR 73	Physical Protection Of Plants And Materials
10 CFR 436	Federal Energy Management And Planning Programs
10 CFR 707	Workplace Substance Abuse Programs At DOE Sites
10 CFR 708	DOE Contractor Employee Protection Program
10 CFR 710	Criteria And Procedures For Determining Eligibility For Access To Classified Matter Or Special Nuclear Material
10 CFR 712	Human Reliability Program
10 CFR 719	Contractor Legal Management Requirements
10 CFR 820	Procedural Rules For DOE Nuclear Activities
10 CFR 830	Nuclear Safety Management
10 CFR 824	Procedural Rules for the Assessment of Civil Penalties for Classified Information Security Violations
10 CFR 835	Occupational Radiation Protection
10 CFR 850	Chronic Beryllium Disease Prevention Program
10 CFR 851	Worker Safety and Health Program
10 CFR 1021	National Environmental Policy Act Implementing Procedures
10 CFR 1022	Compliance with Floodplain and Wetland Environmental Review Requirements
29 CFR 1904	Recording And Reporting Occupational Injuries And Illnesses
29 CFR 1910	Occupational Safety And Health Standards
29 CFR 1926	Safety And Health Regulations For Construction
36 CFR 60	National Register of Historic Places
36 CFR 79	Curation of Federally Owned and Administered Archeological Collections
36 CFR 1220	Federal Records, General
36 CFR 1222	Creation And Maintenance Of Federal Records

Document Number	Title
36 CFR 1228	Disposition Of Federal Records
36 CFR 1232	Audiovisual Records Management
36 CFR 1234	Electronic Records Management
36 CFR 1236	Management of Vital Records
40 CFR 60.150	Standards Of Performance For New Stationary Sources
40 CFR 61	National Emission Standards for Hazardous Air Pollutants
40 CFR 82	Protection of Stratospheric Ozone
40 CFR 122	EPA Administered Permit Programs: The National Pollutant Discharge Elimination System
40 CFR 194.22	Criteria for the Certification and Re-Certification of the Waste Isolation Pilot Plant's Compliance With the 40 CFR Part 191 Disposal Regulations, Quality Assurance
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 262	Standards Applicable To Generators Of Hazardous Waste
40 CFR 264	Standards For Owners And Operators Of Hazardous Waste Treatment, Storage, And Disposal Facilities
40 CFR 265	Interim Status Standards For Owners And Operators Of Hazardous Waste Treatment, Storage, And Disposal Facilities
40 CFR 268	Land Disposal Restrictions
40 CFR 300-372	Comprehensive Environmental Response, Compensation, and Liability Act
40 CFR 302	Designation, Reportable Quantities, and Notification
40 CFR 355	Emergency Planning And Notification
40 CFR 370	Hazardous Chemical Reporting: Community Right-To-Know
40 CFR 372	Toxic Chemical Release Reporting: Community Right-To-Know
40 CFR 761	Polychlorinated Biphenyls (PCBs) Manufacturing, Processing, Distribution in Commerce, and use Prohibitions
40 CFR 763	Asbestos
41 CFR 101	Federal Property Management Regulations
41 CFR 102	Federal Management Regulations
41 CFR 109	DEPARTMENT OF ENERGY PROPERTY MANAGEMENT REGULATIONS
48 CFR Part 970.5203-2	Performance Improvement and Collaboration
48 CFR Part 970.5223-1	Integration of Environmental, Safety, and Health into the Work Planning and Execution
49 CFR 40	Procedures For Transportation Workplace Drug Testing Programs
49 CFR 130	Oil Spill Prevention and Response Plans
49 CFR 107	Hazardous Materials Program Procedures
49 CFR 171	General Information, Regulations, and Definitions
49 CFR 172	Hazardous Materials Table, Special Provisions, Hazardous Materials Communications, Emergency Response Information and Training

Document Number	Title
	Requirements
49 CFR 173	Shippers -- General Requirements for Shipments and Packagings
49 CFR 174	Carriage By Rail
49 CFR 177	Carriage by Public Highway.
49 CFR 178	Specifications For Packagings
49 CFR 179	Specifications For Tank Cars
49 CFR 180	Continuing Qualification And Maintenance Of Packagings
49 CFR 383	Commercial Driver's License Standards, Requirements and Penalties
49 CFR 385	Safety Fitness Procedures
49 CFR 387	Minimum Levels Of Financial Responsibility For Motor Carriers
49 CFR 390	Federal Motor Carrier Safety Regulations: General
49 CFR 391	Qualifications of Drivers
49 CFR 392	Driving of Commercial Motor Vehicles
49 CFR 393	Parts and Accessories Necessary for Safe Operations
49 CFR 395	Hours Of Service Of Drivers
49 CFR 396	Inspection, Repair and Maintenance
49 CFR 397	Transportation of Hazardous Materials, Driving and Parking Rules

CONSENSUS STANDARDS	
American Industrial Hygiene Association (AIHA) "Emergency Response Planning Guidelines (ERPGs)"	
IEEE N323A- "Radiation Protection Instrumentation Test and Calibration- 05/01/97"	
American Public Health Association, American Water Works Assoc., Water Environment Fed., "Standard Methods for Water and Wastewater" (most current version)	
B.O.C.A., Uniform Building Code or Local Fire and Building Codes	
U.S. EPA Manual, SW 846, "Test Methods for Evaluating Solid Waste" (most current version) Nov. 1986	
U.S. EPA Manual, "Contract Laboratory Program Statement of Work for Organic and Inorganic Analyses" (most current version)	
U. S. EPA Manual, 400-R-92-001, "Manual of Protective Action Guides and Protective Actions for Nuclear Incidents", 1991	
Statement of Federal Financial Accounting Standards 6, 87, 106, 132	
NFPA 1 Fire Prevention Code	
NFPA 55 Compressed and Liquefied Gases in Portable Cylinders	
NFPA 505 Powered Industrial Trucks Including Type Designations, areas of Use, Maintenance and Operations 1992 Edition	

PUBLIC LAWS	
5 U.S.C. 552 et seq.	Freedom of Information Act (FOIA)

5 U.S.C. Appendix 2	Federal Advisory Committee Act (FACA)
18 U.S.C. 1170 and 25 U.S.C. 3001	Native American Graves Protection and Repatriation Act of 1990 (NAGPRA)
15 U.S.C. 2601	Toxic Substances Control Act (TSCA)
16 U.S.C. 470	National Historic Preservation Act (NHPA)
16 U.S.C. 469	Archeological and Historic Preservation Act (AHPA)
16 U.S.C. 470	Archeological Resources Protection Act (ARPA)
16 U.S.C. 703	Migratory Bird Treaty Act
16 U.S.C. 661	Fish and Wildlife Coordination Act
16 U.S.C. 2901	Fish and Wildlife Conservation Act
20 U.S.C., Ch. 6A, Sec. 107a	Randolph-Sheppard Vending Stand Act, as amended
29 U.S.C. 401 et seq.	Labor-Management Reporting and Disclosure Act of 1959
33 U.S.C. 1251	Clean Water Act (CWA)
40 U.S.C. 20	Federal Motor Vehicle Expenditure Control
40 U.S.C. 483	Federal Property Administrative Services Act
41 U.S.C. 422	Cost Accounting Standard Board
42 U.S.C. 2021 et seq.	Low-Level Radioactive Waste Policy Act, as amended
42 U.S.C. 2168 et seq.	Prohibition Against Dissemination of Certain Unclassified Information
42 U.S.C. 2286	Defense Nuclear Facilities Safety Board (DNFSB)
42 U.S.C. 2297-8(a)	The Public Health and Welfare
42 U.S.C. 7401	Clean Air Act (CAA)
42 U.S.C. 7256	National Defense Authorization Act
42 U.S.C. 7512	Classification and Attainment Dates
42 U.S.C. 7384	Energy Employees Occupational Illness Compensation Program Act (EEOICPA), Public Law 106-398
42 U.S.C. 11411	Title V of the Stewart B. McKinney Homeless Assistance Act, as amended
42 U.S.C. 2011-2259	Atomic Energy Act (AEA)
42 U.S.C. 9601	Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA)
42 U.S.C. 7256	CERCLA Amendment
42 U.S.C. 9605	CERCLA National Contingency Plan (NCP)
42 U.S.C. 11001 - 11050	CERCLA Emergency Planning and Community Right to Know Act (EPCRA)
42 U.S.C. 9620	CERCLA Federal Facility Agreement (FFA)
42 U.S.C. 4321	National Environmental Policy Act (NEPA)
42 U.S.C. 300f	Safe Drinking Water Act (SDWA)
42 U.S.C. 13101-13109	Pollution Prevention Act (PPA)
42 U.S.C. 6901	Resource Conservation & Recovery Act (RCRA)
16 U.S.C 1531	Endangered Species Act (ESA)
OMB Circular A-11	Preparation and Submission of Budget Estimates

	Federal Facility Compliance Agreement, U.S. EPA Docket FFCA-HW-001; Task 2, Work Plan Requirements, section d. Quality Assurance Project Plan, sections 1 and 2.
	International Air Transportation Association (IATA), "Dangerous Goods Regulations", most current version
	International Civil Aviation Organization (ICAO), Doc. 9284-AN/905 "Technical Instruction for the Safe Transport of Dangerous Goods"
	International Maritime Organization, "International Maritime Dangerous Goods Code", most current version
Public Law 97-255	Federal Managers Financial Integrity Act of 1982
Public Law 99-272	Consolidated Omnibus Reconciliation Act of 1985
Public Law 100-679	Office of Federal Procurement Policy Act Amendments of 1988
Public Law 102-368	Federal Facility Compliance Act of 1992
	Energy Policy Act of 2007
	National Defense Authorization Act of 1993
Executive Order 13423	Strengthening Federal Environmental, Energy, and Transportation Management and its Implementing Instructions
Executive Order 13221	Energy Efficient Standby Power Devices

## LIST B

### APPLICABLE DOE DIRECTIVES

Table J.2.2 The operating and administrative requirements, including the Contractor Requirements Documents, of the DOE directives listed below are applicable in whole or part in accordance with I.138.

DOE Directive (Orders, Policies, Notices, Manuals, Guidance, Standards)	Subject
<a href="#">DOE O 110.3A</a>	Conference Management
<a href="#">DOE O 130.1, CRD</a>	Budget Formulation Process
<a href="#">DOE M 140.1-1B, CRD</a>	Interface with the Defense Nuclear Facilities Safety Board
<a href="#">DOE O 142.1</a>	Classified Visits Involving Foreign Nationals
<a href="#">DOE O 142.2A, CRD</a>	Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
<a href="#">DOE O 142.3, Chg. 1, CRD</a>	Unclassified Foreign Visits and Assignments
<a href="#">DOE N 144.1</a>	American Indian Tribal Government Policy
<a href="#">DOE O 150.1</a>	<b><u>Continuity Program</u></b>
<a href="#">DOE O 151.1C, CRD</a>	Comprehensive Emergency Management System
<a href="#">DOE G 151.1</a>	Hazards Surveys and Assessments
<a href="#">DOE O 153.1</a>	Departmental Radiological Emergency Response Assets
<a href="#">DOE O 200.1</a>	Information Management Program
<a href="#">DOE M 200.1-1, Chapter 9</a>	Telecommunications Security Manual
<a href="#">DOE O 200.1A CRD</a>	Information Technology Management
<a href="#">DOE O 205.1A, CRD</a>	Department of Energy Cyber Security Management Program
<a href="#">DOE M 205.1-4, CRD</a>	National Security System Manual
<a href="#">DOE M 205.1-5, CRD</a>	Cyber Security Process Requirements Manual
<a href="#">DOE M 205.1-6, CRD</a>	Media Sanitization Manual
<a href="#">DOE M 205.1-7, CRD</a>	Security Controls for Unclassified Information Systems Manual

DOE Directive (Orders, Policies, Notices, Manuals, Guidance, Standards)	Subject
<a href="#">DOE M 205.1-8, CRD</a>	Cyber Security Incident Management Manual
<a href="#">DOE M 206.1, CRD</a>	Department of Energy Privacy Program
<a href="#">DOE N 206.4, CRD</a>	Personal Identity Verification Program
<a href="#">DOE N 206.5, CRD</a>	Response and Notification Procedures for Data Breaches Involving Personally Identifiable Information
<a href="#">DOE O 210.2, CRD</a>	DOE Corporate Operating Experience Program
<a href="#">DOE O 221.1A, CRD</a>	Reporting Fraud, Waste, and Abuse to the Office of the Inspector General
<a href="#">DOE O 221.2A, CRD</a>	Cooperation with the Office of Inspector General
<a href="#">DOE O 225.1A, CRD</a>	Accident Investigations
<a href="#">DOE O 226.1A</a>	Implementation of DOE Oversight Policy
<a href="#">DOE M 231.1-1A, Chg.2, CRD</a>	Environment, Safety, and Health Reporting Manual
<a href="#">DOE M 231.1-2, CRD</a>	Occurrence Reporting and Processing of Operations Information
<a href="#">DOE O 241.1A</a>	Scientific and Technical Information Management
<a href="#">DOE O 243.1, CRD</a>	Records Management Program
<a href="#">DOE O 243.2, CRD</a>	Vital Records
<a href="#">DOE O 251.1B, CRD</a>	Departmental Directives Program
<a href="#">DOE M 251.1-1B, CRD</a>	Departmental Directives Program Manual
<a href="#">DOE O 252.1</a>	Technical Standards Program
<a href="#">DOE O 341.1A</a>	Federal Employee Health Services
<a href="#">DOE O 350.1, Chg. 1, CRD</a>	Contractor Human Resource Management Programs (Chapters 1, 2, 8 & 9)
<a href="#">DOE O 410.2</a>	<b><u>Management of Nuclear Materials</u></b>
<a href="#">DOE O 413.1A, CRD</a>	Management Control Program
<a href="#">DOE O 413.1B, CRD</a>	Internal Control Program
<a href="#">DOE O 413.3A, CRD</a>	Program and Project Management for the Acquisition of Capital Assets (\$20M threshold for Total Project Cost)
<a href="#">DOE G 413.3-8</a>	Environmental Management (EM) Cleanup Projects
<a href="#">DOE G 413.3 - 10</a>	Earned Value Management System (EVMS)
<a href="#">DOE O 414.1C, CRD</a>	Quality Assurance



DOE Directive (Orders, Policies, Notices, Manuals, Guidance, Standards)	Subject
<a href="#">DOE G 420.1-3</a>	Implementation Guide for DOE Fire Protection and Emergency Service Programs
<a href="#">DOE O 420.1B</a> , CRD	Facility Safety
<a href="#">DOE O 425.1C</a> , CRD	Startup and Restart of Nuclear Facilities
<a href="#">DOE O 430.1B</a> , Chg. 1, CRD	Real Property Asset Management
<a href="#">DOE O 430.2A</a>	Departmental Energy and Utilities Management
<a href="#">DOE O 433.1A</a> , CRD	Maintenance Management Program for DOE Nuclear Facilities
<a href="#">DOE O 435.1</a> , Chg 1, CRD	Radioactive Waste Management
<a href="#">DOE M 435.1-1</a> , Chg 1, CRD	Radioactive Waste Management Manual
<a href="#">DOE G 435.1-1</a>	Implementation Guide for use with DOE M 435.1-1
<a href="#">DOE M 440.1-1A</a>	DOE Explosives Safety Manual (invoked under 10CFR851)
<a href="#">DOE O 440.2B</a>	Aviation Management and Safety
<a href="#">DOE O 442.1A</a> , CRD	Department of Energy Employee Concerns Program
<a href="#">DOE M 442.1-1</a> , CRD	Differing Professional Opinions Manual for Technical
<a href="#">DOE O 450.1A</a> , CRD	Environmental Protection Program
<a href="#">DOE M 450.4-1</a> , CRD	Integrated Safety Management System Manual
<a href="#">DOE G 450.1-2</a>	Implementation Guide for Integrating Environmental Management Systems into Integrated Safety Management Systems
<a href="#">DOE O 460.1B</a> , CRD	Packaging and Transportation Safety
<a href="#">DOE M 460.2-1A</a> , CRD	Radioactive Material Transportation Practices
<a href="#">DOE O 460.2A</a>	Departmental Materials Transportation and Packaging Management
<a href="#">DOE M 461.1-1</a> , Chg1	Packaging & Transfer of Materials of National Security Interest
<a href="#">DOE O 461.1A</a>	Packaging and Transfer or Transportation of Materials of National Security Interest
<a href="#">DOE P 470.1</a>	Integrated Safeguards and Security Management Policy
<a href="#">DOE O 470.2B</a> , CRD	Independent Oversight and Performance Assurance

DOE Directive (Orders, Policies, Notices, Manuals, Guidance, Standards)	Subject
	Program
<a href="#">DOE N 470.3</a>	Reciprocal Recognition of Existing Personnel Security Clearances
<a href="#">DOE O 470.3A</a>	Design Basis Threat Policy (U)
<a href="#">DOE M 470.4-1, CRD</a>	Safeguards and Security Program Planning and Management
<a href="#">DOE M 470.4-2A, CRD</a>	<b><u>Physical Protection</u></b>
<a href="#">DOE M 470.4-3</a>	Protective Force
<a href="#">DOE M 470.4-4, Chg. 1, CRD</a>	Information Security
<a href="#">DOE M 470.4-5, CRD</a>	Personnel Security
<a href="#">DOE M 470.4-6, Chg. 1, CRD</a>	Nuclear Material Control and Accountability
<a href="#">DOE M 470.4-7</a>	Safeguards and Security Program References
<a href="#">DOE M 471.1-1 Change 1</a>	Identification and Protection of Unclassified Controlled Nuclear Information Manual
<a href="#">DOE O 471.1A, CRD</a>	Identification and Protection of Unclassified Controlled Nuclear Information (UCNI)
<a href="#">DOE O 471.3, CRD</a>	Identifying & Protecting Official Use Only Information
<a href="#">DOE M 471.2-1C</a>	Classified Matter Protection and Control Manual
<a href="#">DOE M 471.3-1, CRD</a>	Manual for Identifying & Protecting Official Use Only Information
<a href="#">DOE O 475.1, CRD</a>	Counterintelligence Program
<a href="#">DOE M 475.1-1B, CRD</a>	Manual for Identifying Classified Information
<a href="#">DOE O 475.2</a>	Identifying Classified Information
<a href="#">DOE O 481.1C, CRD</a>	Work for Others (Non-Department of Energy Funded Work)
<a href="#">DOE O 482.1, CRD</a>	DOE Facilities Technology Partnering Programs
<a href="#">DOE O 484.1</a>	Reimbursable Work for the Department of Homeland Security
<a href="#">DOE O 522.1</a>	Pricing of Departmental Materials and Services
<a href="#">DOE O 534.1B, CRD</a>	Accounting
<a href="#">DOE O 551.1C, CRD</a>	Official Foreign Travel
<a href="#">DOE O 580.1, Chg. 1, CRD</a>	Department of Energy Personal Property Management

DOE Directive (Orders, Policies, Notices, Manuals, Guidance, Standards)	Subject
	Program
<a href="#">DOE STD - 1027</a>	Hazard Categorization and Accident Analysis Techniques for Compliance with DOE O 5480.23
<a href="#">DOE-STD-1134</a>	Review Guide for Criticality Safety Evaluations
<a href="#">DOE-STD-1158</a>	Self Assessment Standard for DOE Contractor Criticality Safety Programs
<a href="#">DOE-STD-3007</a>	Guidelines for Preparing Criticality Safety Evaluations at Department of Energy Non-Reactor Nuclear Facilities
<a href="#">DOE O 1340.1B</a>	Management of Public Communications Publications and Scientific, Technical, and Engineering Publications
<a href="#">DOE O 1450.4</a>	Consensual Listening-in to or Recording Telephone/Radio Conversations
<a href="#">DOE O 5400.5, Chg. 2</a>	Radiation Protection of the Public and the Environment
<a href="#">DOE O 5480.19, Chg2</a>	Conduct of Operations Requirements for DOE Facilities
<a href="#">DOE O 5480.20A, Chg1, CRD</a>	Personnel Selection, Qualification, and Training Requirements for DOE Nuclear Facilities